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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Weindorf et al.

Application No.: 10/040,864

Filing Date: December 28, 2001

Title: LED BACKLIGHTING
SYSTEM

Examiner: Jose R. Diaz

Art Unit: 2815

Attorney Docket No:
10541-502

MS Amendment
Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
5,105,179	04/14/1992	Smith
5,924,784	07/20/1999	Chliwnyj, et al.
6,084,519	07/04/2000	Coulling, et al.
6,130,700	10/10/2000	Murayama, et al.
6,351,079	02/26/2002	Willis
6,411,046	06/25/2002	Muthu
6,448,951	09/10/2002	Sakaguchi, et al.
6,473,469	10/29/2002	Leitch

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

08/17/2004 SSESHE1 00000048 061500 10040864

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& LIONE

BRINKS HOFFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.

Applicant has calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

August 11, 2004
Date



Robert K. Fergan (Reg. No. 51,674)
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)
Copies of listed references



FORM PTO-1449	APPLICATION NO. 10/040,864	CASE NO. 10541-502
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 28, 2001	ART UNIT 2815
	APPLICANT(S): Weindorf, et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
		5,105,179	04/14/1992	Smith		
		5,924,784	07/20/1999	Chliwnyj, et al.		
		6,084,519	07/04/2000	Coulling, et al.		
		6,130,700	10/10/2000	Murayama, et al.		
		6,351,079	02/26/2002	Willis		
		6,411,046	06/25/2002	Muthu		
		6,448,951	09/10/2002	Sakaguchi, et al.		
		6,473,469	10/29/2002	Leitch		

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)					

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 11, 2004 Name: Terry Wand

Signature: Terry Wand

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Weindorf, et al.

Appln. No.: 10/040,864

Filed: December 28, 2001

For: LED BACKLIGHTING SYSTEM

Attorney Docket No: 10541-502

Examiner: Jose R. Diaz

Art Unit: 2815

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement; One Sheet of Form PTO-1449 and 8 cited references
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☒ A petition or processing fee in an amount of \$180.00 under 37 C.F.R. § 1.17(p).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x \$43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
☒ Please charge Deposit Account No. 06-1500 (Visteon Global Technologies, Inc.) in the amount of \$180.00. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500.

Respectfully submitted,

Robert K. Fergan

Robert K. Fergan (Reg. No. 51,674)

August 11, 2004

Date